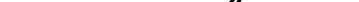


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 <b>Substitute for form 1449A/PTO</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
<b>Complete If Known</b>			
Application No.		09/635,345	
Filing Date		August 9, 2000	
First Named Inventor		Albert K. Chin	
Art Unit		3738	
Examiner Name		Thomas C. Barrett	
Sheet		1 of 1	
		Attorney Docket Number	
		80121-07179	

Examiner Signature		Date Considered	6/10/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

EXAMINER: Initial reference considered, whether or not another is in conformance with 37 CFR 1.56.

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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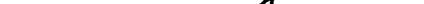
## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

<i>Complete if Known</i>	
<b>Application No.</b>	09/635,345
<b>Filing Date</b>	August 9, 2000
<b>First Named Inventor</b>	Albert K. Chin
<b>Art Unit</b>	3738
<b>Examiner Name</b>	Thomas C. Barrett
<b>Attorney Docket Number</b>	80121-07179

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23488/07179/DOCS/1365342.1



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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<i>Complete If Known</i>	
Application No.	09/635,345
Filing Date	August 9, 2000
First Named Inventor	Albert K. Chin
Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket Number	80121-07179

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### U.S. PATENT DOCUMENTS TECHNOLOGY CENTER R3700

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
13	A1	US-5,902,331	05/11/1999	Bonner et al.
13	A2	US-6,102,046	08/15/2000	Weinstein et al.
13	A3	US-6,322,536B1	11/27/2001	Rosengart et al.

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>

Examiner Signature		Date Considered	01/10/04
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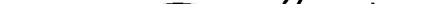
<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



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<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>				<b>Complete if Known</b>	
				<b>Application No.</b>	09/635,345
				<b>Filing Date</b>	August 9, 2000
				<b>First Named Inventor</b>	Albert K. Chin
				<b>Art Unit</b>	3738
				<b>Examiner Name</b>	Thomas C. Barrett
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	80121-07179

FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
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Examiner Signature		Date Considered	6/10/04
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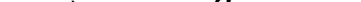
## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**Substitute for form 1449A/PTO**

<b>TRADEMARK</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>				<b>Substitute for form 1449A/PTO</b>	
				<b>Complete if Known</b>	
				Application No.	09/635,345
				Filing Date	August 9, 2000
				First Named Inventor	Albert K. Chin
				Art Unit	3738
				Examiner Name	Thomas C. Barrett
Sheet	1	of	1	Attorney Docket Number	80121-07179

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	6/10/04
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1000 LEAVITT ST. DENVER, CO 80202-3999

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known	
Application No.	09/635,345
Filing Date	August 9, 2000
First Named Inventor	Albert K. Chin
Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket Number	80121-07179

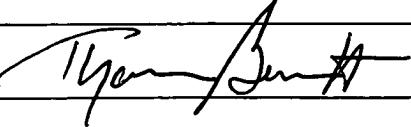
U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
(13)	A	US-5,336,252	08/09/1994	Cohen

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FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				TECHNOLOGY CENTER R3700
				T <sup>6</sup>

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
				T <sup>6</sup>

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80121-07179/DOCS/1391948.1



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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Complete if Known	
Application No.	09/635,345
Filing Date	August 9, 2000
First Named Inventor	Albert K. Chin
Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket Number	80121-07179

U.S. PATENT DOCUMENTS				
		Document No.		
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>AB</i>	A1	US-6,162,195	12-19-2000	Igo et al.
	A2	US-5,897,586	04-27-1999	Molina
	A3	US-5,690,648	11-25-1997	Fogarty et al.
	A4	US-5,618,287	04-08-1997	Fogarty et al.
	A5	US-5,464,447	11-07-1995	Fogarty et al.
	A6	US-4,991,578	02-12-1991	Cohen
	A7	US-4,765,341	08-23-1988	Mower et al.
	A8	US-4,319,562	03-16-1982	Crosby
	A9	US-4,291,707	09-29-1981	Heilman et al.
	A10	US-4,181,123	01-01-1980	Crosby

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FOREIGN PATENT DOCUMENTS				
		Foreign Patent Document		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>AB</i>	B1	EP 0095727A1	12-07-1983	Purdue Research Foundation

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
	C1	A. Carpentier, "Technique d'implantation de pace-maker par une voie d'abord abdominale sous-xyphoidienne," <i>La Presse Medicale</i> , Masson et Cie, Editeurs, Paris, Vol. 76, No. 2, January 13, 1968, 2 pp. <i>No translation</i>		
<i>AB</i>	C2	P.J. de Feyter et al., "Permanent Cardiac Pacing with Sutureless Myocardial Electrodes: Experience in First One Hundred Patients," <i>PACE</i> , Vol 3, No. 2, March 1980, pp. 144-149.		

Examiner Signature	<i>Thomas C. Barrett</i>	Date Considered	<i>6/10/04</i>
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Approved for use through 10/31/2002, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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of

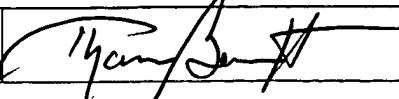
2

## Complete if Known

Application No.	09/635,345	RECEIVED
Filing Date	August 9, 2000	JAN 05 2004
First Named Inventor	Albert K. Chin	TECHNOLOGY CENTER 1400
Art Unit	3738	R3700
Examiner Name	Thomas C. Barron	
Attorney Docket Number	80121-07179	

## OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
1B	C3	S. Stewart, M.D., "Placement of the Sutureless Epicardial Pacemaker Lead by the Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 18, No. 3, September 1974, pp. 308-313.	
	C4	L. Watkins, Jr., M.D. et al., "Implantation of the Automatic Defibrillator: The Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 34, No. 5, November 1982, pp. 515-520	
↓	C5	R. Broadman et al., "ICD Implantation via Thoracoscopy, "Mailslot" Thoracotomy and Subxiphoid Incision," <i>The Annals of Thoracic Surgery</i> , Vol. 57, No. 2, February 1994, pp. 475-476.	
1B	C6	M. Zenati, M.D. et al., "Left Heart Pacing Lead Implantation Using Subxiphoid Videopericardioscopy," <i>J. Cardiovasc Electrophysiol</i> , Vol. 14, September 2003, pp. 949-963.	

Examiner Signature		Date Considered	6/10/04
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23488/07179/DOCS/1400588.1